

M E M O R A N D U M

DEPARTMENT OF HEALTH AND HUMAN SERVICES  
PUBLIC HEALTH SERVICE  
FOOD AND DRUG ADMINISTRATION  
CENTER FOR DRUG EVALUATION AND RESEARCH

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Date: February 8, 2000

To: Dockets Management Branch (HFA-305)

From: Melissa Lamb  
Office of Generic Drugs

Subject: Filing Review and Legal Issues for Abbreviated New Drug  
Applications (ANDAs)

This memorandum forwards overheads of a presentation to the Dockets Management Branch for inclusion in Docket 90S-0308. The following is information on the presentation for the Docket records:

Title of Presentation: Filing Review and Legal Issues for  
Abbreviated New Drug Applications (ANDAs)

Presented for: 1999 Fall Technical Workshop

Date Presented: 10/18/99

Presented by: Peter Rickman

Number of Pages: 21

M. Lamb

Attachment

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90S-0308

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# **Filing Review and Legal Issues for Abbreviated New Drug Applications (ANDAs)**

**October 18, 1999  
1999 Fall Technical Workshop**

*Peter Rickman*

*Deputy Director*

*Division of Labeling and Program  
Support*

*Office of Generic Drugs*

## *Outline*

- Guidances
- RTF Issues
- Paragraph IV Patent Certifications

# ***GUIDANCE FOR INDUSTRY ORGANIZATION OF AN ANDA***

- Revises the guidance for industry on this topic issued in April 1997, which replaced the OGD PPG #30-91
- Recommended organization of ANDAs and related submissions
- Proper format enables the reviewer to review the submission more efficiently

## ***REVISIONS***

- Remove reference to AADAs consistent with FDAMA 1997
- Specific information on the submission of sterility assurance data
- Financial certification/disclosure statement – form 3454 or 3455
- Packaging and labeling procedures and container sections consolidated into a single section – packaging materials controls

# ***GUIDANCE FOR INDUSTRY VARIATIONS IN DRUG PRODUCTS THAT MAY BE INCLUDED IN A SINGLE ANDA***

## **BACKGROUND:**

- Prior to OCT. 1990 applicants were to submit separate ANDAs for each strength and variation (e.g., shape or color)
- Historically, applications were separated for ease of review and post approval tracking

# ***VARIATIONS IN DRUG PRODUCTS THAT MAY BE INCLUDED IN A SINGLE ANDA***

- New Guidance revises OGD PPG #20-90. PPG #20-90 permitted certain variations of solid oral dosage forms and injectables to be submitted in a single ANDA
- Guidance has been expanded to include ALL dosage forms

# ***DETERMINING WHETHER A SINGLE OR MULTIPLE ANDAs SHOULD BE SUBMITTED***

- Reference listed drugs (RLDs)  
Separate NDA'S as RLDs – Generally separate ANDAs
- Multiple bioequivalence studies  
Formulation differences – BE waiver not granted



## ***DETERMINING (Continued)***

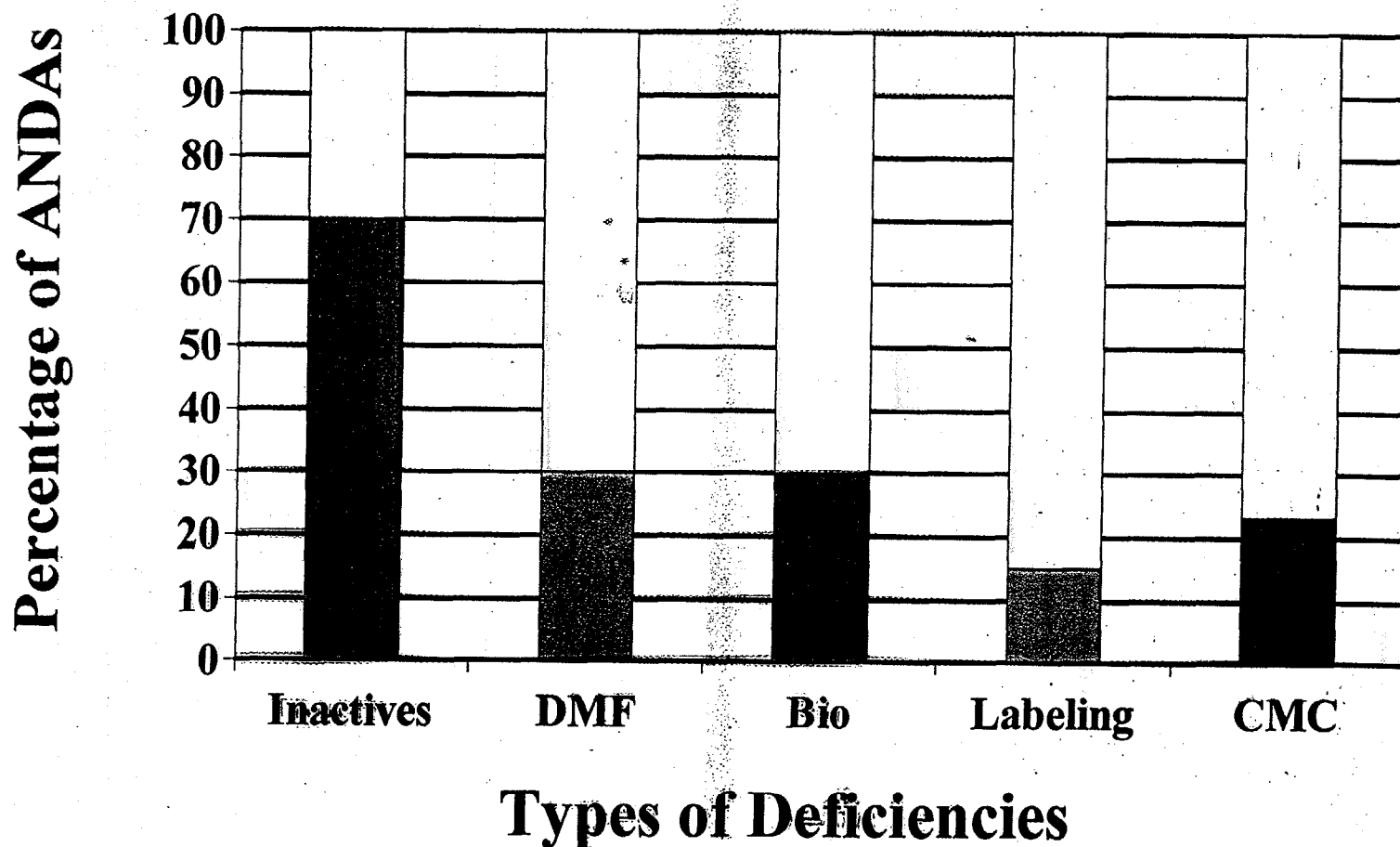
- Pharmacy bulk packages should always be submitted as separate ANDAs
- Special Packaging  
Novel or new container closure systems should always be submitted as separate
- Transdermal Products

Active ingredient contained in patch adhesive vs.  
reservoir – separate ANDAs

**These Guidances are available on  
the Internet:**

***[fda.gov/cder/guidance/index.htm](http://fda.gov/cder/guidance/index.htm)***

*53 RTF letters issued by OGD since  
January, 1999*



# ***INACTIVE INGREDIENTS***

## **THINGS TO AVOID:**

- Use of an inactive ingredient that has ***not*** been previously approved
- Use of an inactive ingredient that ***exceeds*** the maximum concentration previously approved
- Use of an inactive ingredient not approved for use in the same ***route of administration***
- Changes in inactive ingredients ***not permitted*** under 21 CFR 314.94 (a) (9)

## ***CMC RTF ISSUES***

- Incomplete packaging of the exhibit batch
- Incomplete or missing stability data
- Certificate of analysis (COA) not provided
- No drug master file (DMF) authorization
- Inconsistent lot numbers
- No batch reconciliation

## ***BIO RTF ISSUES***

- Incomplete or no BIO data
- No financial disclosure certification/statement
- Incomplete or no dissolution data

## ***LABELING RTF ISSUES***

- No container labels for reference listed drug (RLD)
- Did not provide adequate number of copies of proposed labeling
- No side by side comparison



## ***PARAGRAPH IV DISCLOSURE***

Potential applicants who wish to inquire whether an ANDA for a specific drug has been received can contact the regulatory support branch at **(301) 827-5862**

Be aware that there is a **30-60 DAY** lag time for up to date status on receipt of P IV

## ***PARAGRAPH IV DISCLOSURE***

**WILL** disclose whether an ANDA has been received for a particular drug product

**WILL NOT** disclose when the ANDA had been received

**WILL NOT** disclose the applicants identity